



Responses to Questions

RFP #26-03 and City Multi-Use Trail Final Design RFP

June 23, 2026

1. Can the City provide a copy of the Preliminary Environmental Study (PES)?

The PES is attached with comments from Caltrans. **(Attachment #1)**

2. Under Task 4.3, will the City be performing property negotiations and/or legal & plat preparation?

The City is and will continue to be performing property negotiations. To be determined if plat preparation is needed from the consultant. Please provide as an optional bid item.

3. Please clarify the requirement of three concepts under Task 3.1. Does the City require three landscape design concepts for the entire length of the trail, or three conceptual approaches to landscape treatment as illustrated by typical trail segments, and typical rest areas with picnic and exercise facilities? Similar question: At the end of page 16, the scope says “The plan should include the following elements for each of the three concepts:” Is this a typo? (The three concept plans are not discussed elsewhere in the RFQ.)

The requirement for three design concepts under Task 3.1 will be high-level, focusing on typical trail segments and amenities (such as benches, exercise equipment, and landscaping options). The intent is to establish alternative aesthetic directions for selection before moving into the comprehensive, full-length trail design.

4. Lighting currently shows solar only. The technology is not reliable enough for trail safety. Would the City be open to wired lighting?

The City’s 30% design plans currently have all solar lighting for speed of construction. If you believe an alternative such as this is best for the project, please include it as an optional scope item.

5. Can you provide the available survey mapping? The RFQ states that aerial, boundary and utility mapping have been completed, but existing utility information is not shown on the 30% plans. This information would be helpful for scoping the level of effort needed for utility relocation coordination.

There are no known utilities in the TAMC railway corridor. Therefore, the current survey attached to the RFP is what we have available at this time. I'm attaching a previous iteration of the survey for reference. (**Attachment #2**)

6. Is further scoping information available for the work at the SR 218 / Del Monte Blvd intersection? Work is described on page 20 (Task 4.2.10) as "striping improvements to separate the bicycle and pedestrian traffic", but on striping plan sheet C5.1 it appears that a bike ramp is proposed, which may additionally require curb bulb-outs, curb ramps, and traffic signal modifications.

Please see the attached sheet from Sand City's recent ATP application submittal showing the improvements on 218 / Del Monte Blvd. (**Attachment #3**) To summarize, staff anticipate pulling the median back to accommodate a widened sidewalk, additional striping to accommodate travel lanes for both bicycles and pedestrians, signal improvements and widened curb ramps. Further, the sidewalk on the north side of the intersection shall be widened to 12 feet to accommodate the continuation of the Class I trail. To accommodate this, the bike lane in next to the right-hand turn lane will be eliminated and a new bike ramp connecting to the improvements will be added just north of the widened sidewalk.

7. Can you provide more information regarding the anticipated property acquisitions? Is private property acquisition anticipated?

Private property acquisition is not part of this project. The project scope only encompasses land owned TAMC and City of Seaside, and public right-of-way that is part of Sand City and Caltran's (SR 218). Site control will be accomplished through temporary construction easements and use agreements.

8. Is it the intent that the Consultant provide Appraisals and Negotiation/Acquisition Services? There is no separate Right of Way task in the Scope of Services; however, Task 4.3 Cost Estimates, seems to include Appraisals: "While the City is handling the legal and administrative work for the Use Agreement and Easements, the Consultant shall include the estimated capital costs (e.g., market value or compensation amounts) for Temporary Construction Easements, permanent easements (if necessary)...".

This is only in the event that we need to determine fair market value when the City negotiates with the property owners if there is a component that must be leased for Fair Market Value.

9. On page 8 of the RFP, it mentions the Disclosure of Lobbying Activities form requirement, but the form is not included with the RFP. Please provide the form or let us know if the form is not required with our submittal.

Please see the attached form. (**Attachment #4**)

Attachments:

1. PES Form
2. Survey
3. 218 / Del Monte Blvd Intersection Sheet
4. Disclosure of Lobbying Activities Form 10-Q

SECTION I

Section 1: Project Information

1.1 District Number 5	1.2 County Monterey	1.3 Federal Project 5366 (002)	1.4 Project Name Sand City Multi-use Trail
1.5 Final Environmental Clearance target date: 04/27/2026		PES received by Environmental: (For Caltrans Use Only)	
1.6 Cori Marsalek (District Local Assistance Engineer) Cori.Marsalek@dot.ca.gov (Email Address) (Phone Number)		1.7 From: City of Sand City (Local Public Agency) Vibeke Norgaard (Project Manager's Name) vibeke@sandcityca.org (Email Address) (831) 394-3054 (Phone Number)	

1.8 Is this Project "ON" the State Highway System No

1.9 For Emergency Relief (ER) project only. Please attach Damage Assessment Form (DAF).

Is this project an Emergency Relief project? No

1.10 Does the project description and scope entered on this PES match the project description and scope programmed into the FTIP? Yes

NOTE: the Project Description and Preliminary Design information entered on this PES **must be consistent with what was approved in the FTIP**. If the Project Description listed in this PES does not match what is programmed into the FTIP, your agency **may not be eligible for reimbursement** from FHWA. Your agency will need to either revise this project to match the FTIP or complete an FTIP amendment before the project can proceed. You can find each MPO's FTIP or your FTIP/FSTIP coordinator's contact information, here: <https://dot.ca.gov/programs/financial-programming/office-of-federal-programming-data-management-ofpdm>

FTIP ID Number BP01GP

Section 2: Preliminary Environmental Study Non-Infrastructure

2.1 Is the Project limited to Non-Infrastructure Activities? No

Section 3: Preliminary Design Information

Does the project involve any of the following? Please check the appropriate boxes and delineate on an attached map, plan, or layout including any additional pertinent information. All "Yes" answers are to be addressed in the Detailed Project Description.

Yes	No	Yes	No	Yes	No
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Widen existing roadway		Tree removal		Easements	
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Increase number of through lanes		Road cut/fill		Equipment staging	
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
New alignment		Ground Disturbance/Excavation: anticipated maximum depth _____		Temporary access road/detour	
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Capacity increasing - other (e.g., channelization)		Drainage/culverts		Utility relocation	
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Realignment		Flooding protection		Right of way acquisition (if yes, attach map with APN)	
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Ramp or street closure		Stream channel work		Disposal/borrow sites	
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Bridge work		Pile driving/vibration		Part of larger adjacent project	
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Vegetation removal		Demolition of structures		Railroad	

Section 4: Detailed Project Description

Describe the following, as applicable: proposed facilities, staging areas, disposal and borrow sites, construction activities, and construction access if not previously discussed above.

4.1 Project Location

The project is located in Sand City and Seaside in the former railroad right-of-way with the multiuse trail extending approximately one mile. The Seaside portion of the project starts at Canyon Del Rey Blvd / Hwy 218 with parcel #: 011-301-028-000 and extends to Olympic Street at the border of Sand City. The remainder of the project continues along the TAMC right-of-way (ROW) to Playa Ave in Sand City.

4.2 Purpose and Need

The Sand City Multiuse Trail Project will close a gap in an 18-mile multiuse trail known as the Monterey Bay Coastal Recreation Trail. The project will connect the Monterey Bay Coastal Rec Trail ("MBCRT" or "Trail") that otherwise extends from Castroville in the north to Pacific Grove in the south. The project will reduce carbon emissions by creating a high quality bicycle and pedestrian path that can be used as a safe route to schools, a path for commuting to work and for recreation.

4.3 Detailed Project Description

Install 5,600 feet of Class 1 multiuse trail, 6 new and 2 reconstructed ramps, 2 new beacons/signals, 2 new midblock crosswalks, 86 shade trees, and 25 trail lights. Further, the trail will have landscaping with trees and plants along the trail, trail lighting, and a pedestrian path that run along the trail for segments.

New alignment:

Trail users will be able to directly connect from where the MBCRT trail terminates at 218 / Canyon Del Rey on a new 1-mile segment aligned along the TAMC right-of-way instead of the current re-routing, which requires 1.5 miles along City streets.

Ramp or street closure:

Street closure will likely occur for crossing improvements.

Vegetation removal:

Majority of the trail is along disturbed area, since the area being utilized is formerly a railroad corridor. It is currently either abandoned railroad tracks or areas that businesses lease from TAMC for storage.

Ground Disturbance/Excavation:

Ground disturbance to occur on area that is already disturbed.

Drainage/culverts:

No existing drainage or culverts will be impacted by the project. The project will ensure all trail design and improvements have the required drainage.

Demolition of structures:

Only temporary structures and fencing to be demolished as part of this project.

Easements:

Construction easements to construct the trail shall be acquired.

Equipment staging:

This shall be determined by the construction contractor. Staging shall be within the project area where the area is already disturbed or paved or part of the future trail area to be improved.

Temporary access road/detour:

Any full closures of intersections will have a re-routing plan that will be developed by the construction contractor.

Disposal/borrow sites:

Disposal of all demolished fences and temporary buildings and debris shall be deposited at the Monterey Regional Waste Management site known as ReGen that is at 14201 Del Monte Blvd, Salinas, CA 93908.

Part of larger adjacent project:

The project connects the Monterey Bay Coastal Recreation Trail ("MBCRT" or "Trail") that currently terminates at Canyon Del Rey / 218 to the Monterey Peninsula Recreation Trail (MPRT) at Playa Avenue. Monterey Salinas Transit (MST) is constructing a connection for the MPRT where it connects to the trail on the oceanside of Hwy 1 near Fremont Blvd and extending it along the TAMC ROW to Playa Avenue where it will connect to the Sand City Multi-use trail.

Railroad:

The project will be located on the former Union Pacific Railroad that is owned by TAMC and the City of Seaside.

Section 5: Attachments

5.1 Required Attachments

Regional map

Project location map

Project footprint map (*existing and proposed right of way*)

Borrow/Disposal site location map, if applicable

(Note: all maps [except project location map and regional maps] should be consistent with the project description [minimum scale: 1" = 200'])

Engineering drawings (*existing and proposed cross sections*), if available

GeoTracker Printout for Hazardous Materials (<http://geotracker.waterboards.ca.gov/>).

Current Photos of Project Site

FEMA map

VIA Questionnaire

FSTIP or Disaster Assessment Form (DAF)

5.2 Optional Attachments

Federal Threatened and Endangered Species List from USFWS (<http://ecos.fws.gov/ipac/>).

Federal Threatened and Endangered Species List from NMFS (https://svctenvims.dot.ca.gov/bio_link/).

Environmental Study Limit Map (Shows area impacted by construction and staging)

GIS/KMZ mapping

Other

Section 6: Potential Environmental Effects

Please answer the following questions to the best of your ability.

Examine the project for potential effects on the environment, direct or indirect and answer the following questions. The "construction area," as specified below, includes all areas of ground disturbance associated with the project, including staging and stockpiling areas and temporary access roads.

	Yes	No
General		
6.1. Will the project have logical end points and not require future construction to fully utilize the design capabilities included in the proposed project?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<hr/>		
6.2. Does the project have the potential to generate public controversy?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Is the potential public controversy on environmental grounds?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Community AMMs:		
<input checked="" type="checkbox"/> COM1 - Community outreach will be undertaken during final design, and the results taken into consideration in finalizing the PS&E package.		
<hr/>		
6.3. Is the staging area for this project known at this time?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Equipment Staging AMMs:		
<input checked="" type="checkbox"/> E1 - Equipment staging will be left to the contractor. The specifications will require the following:		
• The staging area will be located on an existing asphalt or concrete surface area. Excavation below the asphalt or concrete will not be permitted.		
• The staging area will be included in any SWPPP or WPCP prepared for the project.		
• The staging area will not be located adjacent to environmentally or culturally sensitive areas (e.g. wildlife sites, wetlands, archaeological sites).		
• The staging area will not be located in a regulatory floodway or within the base floodplain (100-year).		
• The staging area will not affect access to properties or roadways.		
<hr/>		
Noise		
6.4. Is the Project a Type 1 project as defined in 23 CFR 772.5(h)?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Project Type:		
The project does not meet any of the previous descriptions.		
<hr/>		
6.5. Does the project have the potential for adverse construction-related noise impact?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Noise AMMs:		
<hr/>		
Air Quality		
6.6. Is the project in a NAAQS non-attainment or maintenance area?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<hr/>		
Hazardous Materials/Hazardous Waste		
6.7. Is there potential for hazardous waste materials (including underground or aboveground tanks, etc.) or hazardous waste (including oil/water separators, waste oil, asbestos-containing material, lead-based paint, ADL, treated wood waste, etc.) within or immediately adjacent to the construction area? (Please use the local and state regulatory databases including the environmental department, Geotracker, and ENVROSTOR to help determine if there are any hazardous waste sites nearby.)	<input type="checkbox"/>	<input checked="" type="checkbox"/>

	Yes	No
Water Quality/Resources		
6.8. Does the project have the potential to impact water resources (rivers, streams, bays, inlets, lakes, drainage sloughs) within or immediately adjacent to the project area?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<hr/>		
6.9. Is the project within a designated sole-source aquifer?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<hr/>		
Coastal Zone		
6.10. Is the project within the State Coastal Zone , San Francisco Bay , or Suisun Marsh ? It is within the California Coastal Zone.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<hr/>		
Floodplain		
6.11. Is the construction area located within a regulatory floodway or within the base floodplain (100-year) elevation of a watercourse or lake?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<hr/>		
Wild and Scenic Rivers		
6.12. Is the project within 0.25 mile of a river segment designated Wild and Scenic?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<hr/>		
Biological Resources		
6.13. Is there a potential for federally listed threatened or endangered species, or their critical habitat or essential fish habitat to occur within or adjacent to the construction area?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<hr/>		
6.14. Does the project have the potential to directly or indirectly affect migratory birds, or their nests or eggs (such as vegetation removal, box culvert replacement/repair, bridge work, etc.)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<hr/>		
6.15. Is there a potential for wetlands to occur within or adjacent to the construction area?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<hr/>		
6.16. Is there a potential for the introduction or spread of invasive plant species?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<hr/>		
Biology AMMs:		
<input checked="" type="checkbox"/> B4 - Landscaping will not include any invasive species.		
<input checked="" type="checkbox"/> B6 - Trees removed will be replanted at a minimum 3:1 ratio		
<hr/>		
Section 4(f) and 6(f)		
6.17. Are there any historic sites or publicly owned public parks, recreation areas, wildlife or waterfowl refuges (Section 4(f)) within or immediately adjacent to the construction area?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<hr/>		

Yes No

Visual Resources

6.18. Please input your score from the VIA Questionnaire in the box provided: 16

Anticipated: No visual resource related regulatory requirements. No or negligible visual changes to the environment are proposed. None or minimal public concern has been identified. This Questionnaire with rationale for selected responses to questions in the available spaces after each question along with a statement of no visual resource impact is appropriate and provides a sufficient rationale why a technical study is not required.

Visual AMMs:

- V2 - Landscaping removed by the project will be replaced similar to pre-project conditions.
- V3 - There are no trees that are part of this project. The project proposes to add trees and landscaping.

Relocation Impacts

6.19. Will the project require any right of way, including partial or full takes? Remember to consider construction easements and utility relocations. (Please remember to fill out and submit the PERW Checklist (Exhibit 13-E)).

Will the project include any relocations?

Please input any applicable APNs of properties being either acquired or easements being obtained.

APN	Permanent Acquisition in Acres	Temporary Acquisition in Acres	Number of Residences relocated	Number of Businesses Relocated	Partial or Full Take
011-301-028-000		1.862	0	0	Partial
TAMC ROW		9.872	0	0	Partial
2		11.734			

6.20. Will the project require the relocation of public utilities?

Land Use, Community, and Farmland Impacts

6.21. Does the project conflict with any state or local plans or ordinances?

6.22. Does the project have the potential to divide or disrupt neighborhoods/communities?

6.23. Will the project permanently or temporarily affect access to properties or roadways?

6.24. Will the project involve changes in access control to the State Highway System (SHS)?

6.25. Will the project temporarily or permanently reduce available parking?

6.26. Will the project construction encroach on state or federal lands?

6.27. Will the project convert any farmland to a different use or impact any farmlands?

Cultural Resources

6.28. Are there any known cultural, archaeological, or historical resources within or adjacent to the project area? Please explain any known resources in the space provided.

Yes No

6.29. Is the project adjacent to, or would it encroach on Tribal land?

Paleontological Resources

6.30. Will native geological units be disturbed by the project?

I agree that by providing my electronic signature for this form, I agree to conduct business transactions by electronic means and that my electronic signature is the legal binding equivalent to my handwritten signature. I hereby agree that my electronic signature represents my execution or authentication of this form, and my intent to be bound by it.

Local Public Agency Project Engineer

This signature indicates that the information provided above is accurate and complete and that the form has been completely filled out and required attachments provided.


Signature

VIBEKE NORGAARD
Print Name

4/28/26
Date


915 516 6674
Telephone No.

Section 7: Required Technical Studies and Analyses

This Section will be completed by Caltrans Staff

Check appropriate box to indicate required technical studies, coordination, permits, or approvals

Required Technical Studies and Analyses	Preparer	Anticipated Actions/Outcomes (Consulting Agency)
<input checked="" type="checkbox"/> 7.1 Biological Resources		
<input checked="" type="checkbox"/> see text below	<input checked="" type="checkbox"/> Caltrans <input type="checkbox"/> Local Public Agency	
<input type="checkbox"/> NES, Minimal Impacts	<input type="checkbox"/> Local Public Agency	
<input type="checkbox"/> Natural Environmental Study		
<input type="checkbox"/> Biological Assessment		<input type="checkbox"/> Letter of Concurrence (NMFS) <input type="checkbox"/> Letter of Concurrence (USFWS) <input type="checkbox"/> Biological Opinion (NMFS) <input type="checkbox"/> Biological Opinion (USFWS)
Biological report/ documentation with a section addressing Monterey Spine-flower's environment, potential impacts, and protection measures		
<input type="checkbox"/> Essential Fish Habitat Evaluation	<input type="checkbox"/> Local Public Agency	<input type="checkbox"/> NMFS Incidental Take Permit
<input type="checkbox"/> Bio-Acoustic Evaluation	<input type="checkbox"/> Local Public Agency	<input type="checkbox"/> USFWS Incidental Take Permit
<input type="checkbox"/> Hydroacoustic Evaluation	<input type="checkbox"/> Local Public Agency	<input type="checkbox"/> NMFS Incidental Take Permit
<input type="checkbox"/> Marine Mammal Study	<input type="checkbox"/> Local Public Agency	<input type="checkbox"/> NMFS Incidental Take Permit
<input checked="" type="checkbox"/> 7.2 Cultural Resources (Caltrans PQS Completes this Section)		
Section 106 Requirement	Responsible Party	Action
<input type="checkbox"/> Screened Undertaking Memo	PQS	Prepares Screening Memo After Below Items Are Completed
<input type="checkbox"/> Records Search	Local Public Agency/Consultant	Performs or Orders Search
<input type="checkbox"/> Field Survey	Local Public Agency/Consultant	Performs and Provides Results
<input type="checkbox"/> Tribal Consultation	Local Public Agency/Consultant	Performs and Provides Results
<input type="checkbox"/> Other:		
<input checked="" type="checkbox"/> APE Map	Local Public Agency/Consultant	Creates APE Map
	District PQS and DLAE	Approves APE Map
<input checked="" type="checkbox"/> Native American Heritage Commission	Local Public Agency/Consultant	Request Sacred Sites and Native American Contacts
<input checked="" type="checkbox"/> Native American Tribes		Comments Regarding Concerns with Project/ Resources
<input checked="" type="checkbox"/> Initial Letter	Local Public Agency/PQS/Consultant	Initiate Consultation on Agency Letterhead (Local or Caltrans)
<input checked="" type="checkbox"/> Tribal Consultation Log	Local Public Agency/Consultant	Follow-up and Document All Consultation
<input type="checkbox"/> Consulting Parties:		Comments Regarding Concerns with Project/ Resources
<input type="checkbox"/> Local Government	Local Public Agency/Consultant	Provides Info/Comments Regarding Project
<input type="checkbox"/> Local Preservation Groups	Local Public Agency/Consultant	Comments Regarding Concerns with Project/ Resources
<input type="checkbox"/> Federal or State Agency	Local Public Agency/Consultant/PQS	Provides Info/Comments Regarding Project
<input type="checkbox"/> Other:		
<input checked="" type="checkbox"/> Documentation/Studies:	Local Public Agency/Consultant/PQS	Local Public Agency/Consultant Submit to PQS/ Caltrans for Review/Comment/Approval
<input checked="" type="checkbox"/> HPSR		
<input checked="" type="checkbox"/> ASR		
<input type="checkbox"/> HRER		
<input type="checkbox"/> ESA Action Plan		
<input type="checkbox"/> SOIS Action Plan		
<input type="checkbox"/> Extended Phase I		
<input type="checkbox"/> Phase II/Evaluation		
<input type="checkbox"/> Ethnographic Study		
<input type="checkbox"/> Finding of Effect		

		Yes	No
<input type="checkbox"/>	Post-Review Discovery and Monitoring Plan		
<input type="checkbox"/>	Geoarchaeological Study		
<input type="checkbox"/>	MOA		
<input type="checkbox"/>	PA		
<input type="checkbox"/>	Phase III/Data Recovery (Mitigation)		
<input type="checkbox"/>	Construction Monitoring Report		
<input type="checkbox"/>	Other: _____		
<input type="checkbox"/>	Consultation:		
<input type="checkbox"/>	CSO (15 Days)		
<input type="checkbox"/>	SHPO (30 Days)		
<input type="checkbox"/>	SHPO (Indeterminate Days)		
<input type="checkbox"/>	Other: _____		
<input type="checkbox"/>	The Section 106 PA does not apply to this project		
<input type="checkbox"/>	7.3 Air Quality		
<input type="checkbox"/>	Air Quality Checklist	<input type="checkbox"/> Caltrans	
<input type="checkbox"/>	Traffic Related Technical Memorandum	<input type="checkbox"/> Local Public Agency	
<input type="checkbox"/>	Traffic Related Air Quality Report	<input type="checkbox"/> Local Public Agency	<input type="checkbox"/> Conformity Finding for 327 Project (FHWA)
			<input type="checkbox"/> PM10/PM2.5 Interagency Consultation (Regional Agency)
<input type="checkbox"/>	Construction Related Technical Memorandum	<input type="checkbox"/> Local Public Agency	
<input type="checkbox"/>	Construction Related Air Quality Report	<input type="checkbox"/> Local Public Agency	<input type="checkbox"/> PM10/PM2.5 Interagency Consultation (Regional Agency)
			<input type="checkbox"/> Conformity Finding for 327 Project (FHWA)
<input type="checkbox"/>	7.4 Floodplain		
<input type="checkbox"/>	Floodplain Encroachment Memo	<input type="checkbox"/> Caltrans	
<input type="checkbox"/>	Location Hydraulic Study	<input type="checkbox"/> Local Public Agency	
<input type="checkbox"/>	Floodplain Evaluation Report	<input type="checkbox"/> Local Public Agency	
<input type="checkbox"/>	Summary Floodplain Encroachment Report	<input type="checkbox"/> Local Public Agency	<input type="checkbox"/> Only Practicable Alternative (FHWA)
<input type="checkbox"/>	7.5 Farmlands		
<input type="checkbox"/>	Natural Resource Conservation Service Forms	<input type="checkbox"/> Caltrans	<input type="checkbox"/> (Natural Resource Conservation Service)
<input type="checkbox"/>	Technical Memorandum	<input type="checkbox"/> Local Public Agency	
<input type="checkbox"/>	CIA	<input type="checkbox"/> Local Public Agency	
<input checked="" type="checkbox"/>	7.6 Hazardous Waste		
<input type="checkbox"/>	Initial Site Assessment (Phase 1)	<input type="checkbox"/> Local Public Agency	
<input type="checkbox"/>	Preliminary Site Assessment (Phase 2)	<input type="checkbox"/> Local Public Agency	
<input checked="" type="checkbox"/>	Technical Memorandum	<input type="checkbox"/> Caltrans <input type="checkbox"/> Local Public Agency	
<input type="checkbox"/>	7.7 Land Use and Community Impacts		
<input type="checkbox"/>	Technical Memorandum	<input type="checkbox"/> Local Public Agency	<input type="checkbox"/>
<input type="checkbox"/>	CIA		
<input type="checkbox"/>	7.8 Noise		
<input type="checkbox"/>	Traffic Related Technical Memorandum	<input type="checkbox"/> Local Public Agency	
<input type="checkbox"/>	Traffic Related Noise Study Report	<input type="checkbox"/> Local Public Agency	
<input type="checkbox"/>	NADR	<input type="checkbox"/> Local Public Agency	
<input checked="" type="checkbox"/>	Construction Related Technical Memorandum	<input type="checkbox"/> Local Public Agency	
<input type="checkbox"/>	Construction Related Noise Study Report	<input type="checkbox"/> Local Public Agency	

<input type="checkbox"/> 7.9 Relocation Impacts		
<input type="checkbox"/> Relocation Impact Memo	<input type="checkbox"/> Local Public Agency	
<input type="checkbox"/> Relocation Impact Study	<input type="checkbox"/> Local Public Agency	
<input type="checkbox"/> Relocation Impact Report	<input type="checkbox"/> Local Public Agency	
<input type="checkbox"/> 7.10 Paleontological Resources		
<input type="checkbox"/> Technical Memorandum	<input type="checkbox"/> Local Public Agency	
<input type="checkbox"/> Paleontological Identification Report (PIR)	<input type="checkbox"/> Local Public Agency	
<input type="checkbox"/> Paleontological Evaluation Report (PER)	<input type="checkbox"/> Local Public Agency	
<input type="checkbox"/> Paleontological Mitigation Plan (PMP)	<input type="checkbox"/> Local Public Agency	
<input type="checkbox"/> Paleontological Mitigation Report (PMR)	<input type="checkbox"/> Local Public Agency	
<input type="checkbox"/> 7.11 Section 4(f)		
<input type="checkbox"/> Temporary Occupancy	<input type="checkbox"/> Local Public Agency	<input type="checkbox"/> Concurrence (official with jurisdiction)
<input type="checkbox"/> De Minimis	<input type="checkbox"/> Local Public Agency	<input type="checkbox"/> Concurrence (official with jurisdiction) and Public Notice is Required
<input type="checkbox"/> Programmatic 4(f) Evaluation	<input type="checkbox"/> Local Public Agency	<input type="checkbox"/> Public Notice maybe Required
<input type="checkbox"/> Individual 4(f) Evaluation	<input type="checkbox"/> Local Public Agency	<input type="checkbox"/> Public Notice is Required
<input type="checkbox"/> No Use Memo	<input type="checkbox"/> Caltrans	
<input type="checkbox"/> 7.12 Section 6(f)		
<input type="checkbox"/> Section 6(f)	<input type="checkbox"/> Local Public Agency	<input type="checkbox"/> Determines Consistency with Long Term Management Plan (NPS)
		<input type="checkbox"/> Approves Conversion (NPS)
<input type="checkbox"/> 7.13 Sole-Source Aquifer		
<input type="checkbox"/> Sole Source Aquifer	<input type="checkbox"/> Local Public Agency	<input type="checkbox"/> Approval of Analysis in Environmental Document (EPA S.F. Regional Office)
		<input type="checkbox"/> Coastal Zone Consistency Determination (EPA S.F. Regional Office)
<input type="checkbox"/> 7.14 Traffic		
<input type="checkbox"/> Technical Memorandum	<input type="checkbox"/> Local Public Agency	
	<input type="checkbox"/> Caltrans	
<input type="checkbox"/> Traffic Study	<input type="checkbox"/> Local Public Agency	
<input checked="" type="checkbox"/> 7.15 Visual		
<input type="checkbox"/> Technical Memorandum	<input type="checkbox"/> Local Public Agency	
<input type="checkbox"/> Advanced VIA	<input type="checkbox"/> Local Public Agency	
<input type="checkbox"/> 7.16 Water Quality/Resources		
<input type="checkbox"/> Technical Memorandum	<input type="checkbox"/> Local Public Agency	
<input type="checkbox"/> Water Quality Assessment Report	<input type="checkbox"/> Local Public Agency	
<input type="checkbox"/> 7.17 Wetlands		
<input type="checkbox"/> Wetland Delineation and Assessment	<input type="checkbox"/> Local Public Agency	<input type="checkbox"/> Wetland Verification (Army Corps of Engineers)
		<input type="checkbox"/> Agricultural Wetland Verification (Natural Resources Conservation Service)
		<input type="checkbox"/> Wetlands Only Practicable Alternative Finding (Caltrans)
<input type="checkbox"/> 7.18 Wild and Scenic Rivers		
<input type="checkbox"/> Wild and Scenic Rivers	<input type="checkbox"/> Local Public Agency	<input type="checkbox"/> Wild and Scenic Rivers Determination (River Managing Agency)
<input type="checkbox"/> 7.19 Other Study		
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Permits *Local Public Agency is responsible for obtaining all permits, and copies of permits and a list of mitigation commitments are mandatory submittals following NEPA approval

Consulting Agency	Anticipated Permit	Date Received
<input type="checkbox"/> ACOE	<input type="checkbox"/> Section 404 Nationwide Permit <input type="checkbox"/> Section 404 Individual Permit	
<input type="checkbox"/> Caltrans/ACOE/EPA <input checked="" type="checkbox"/> USFWS <input type="checkbox"/> NMFS	<input type="checkbox"/> NEPA/404 Integration MOU	
<input type="checkbox"/> ACOE	<input type="checkbox"/> Rivers and Harbors Act Section 10 Permit	
<input type="checkbox"/> USCG	<input type="checkbox"/> USCG Bridge Permit	
<input type="checkbox"/> RWQCB	<input type="checkbox"/> Section 401 Water Quality Certification	
<input type="checkbox"/> CDFW	<input type="checkbox"/> Section 1602 Streambed Alteration Agreement	
<input type="checkbox"/> RWQCB	<input type="checkbox"/> NPDES Permit	
<input type="checkbox"/> BCDC	<input type="checkbox"/> BCDC Permit	
<input type="checkbox"/> Federal Agency: _____	<input type="checkbox"/> Federal Lands Encroachment Permit	
<input type="checkbox"/> State Agency: _____	<input type="checkbox"/> State Lands Encroachment Permit	
<input type="checkbox"/> Native American Tribe: _____	<input type="checkbox"/> Indian Trust Lands Encroachment Permit	
<input type="checkbox"/> Caltrans	<input type="checkbox"/> Caltrans Encroachment Permit	
<input type="checkbox"/> California Coastal Commission (CCC)	<input type="checkbox"/> Coastal Zone Permit <input type="checkbox"/> Coastal Development Permit	
<input checked="" type="checkbox"/> Local Coastal Plan: _____	<input checked="" type="checkbox"/> Local Coastal Permit or waiver	

Notes: Additional studies may be required for other federal agencies.

The following signatures are required for all CEs, routine and complex EAs, and EISs:

Caltrans District Senior Environmental Planner (or Designee) and DLAE Signatures

I have reviewed this Preliminary Environmental Study (PES) Form and determined that the submittal is complete and sufficient. I concur with the studies to be performed and the recommended NEPA Class of Action.



 (Signature of Senior Environmental Planner or Designee)

5/18/26

 (Date)

805-478-8952

 (Telephone No.)

KELSO VIDAL

 (Name)

Diana Garrett

 (Signature of District Local Assistance Engineer or Designee)

5/22/26

 (Date)

805-406-4046

 (Telephone No.)

 (Name)

Caltrans District 5 Local Assistance Environmental Section 106 Documentation

Introduction

This information is being provided to you because this project has the potential to affect historic properties¹ (see PES form).

The Local Agency will need to hire qualified Cultural Resources consultants to prepare documents for compliance with Section 106 of the National Historic Preservation Act of 1966 (as amended) (NHPA) and Caltrans Programmatic Agreement (PA) with the Federal Highway Administration, California State Historic Preservation Officer (SHPO), and the Advisory Council on Historic Preservation.

Guidance for consultant qualifications can be found online at [Caltrans' Standard Environmental Reference website \(Volume 2, Chapter 1, Section 1.3.7\)](#).

Guidance for completing Section 106 documents may also be found online at [Caltrans' Standard Environmental Reference website](#). Conformity to Caltrans' templates for all documentation is required. Moreover, all Section 106 documentation will require review by Caltrans Professionally Qualified Staff (PQS).

In Section B of the PES form, PQS have identified which Cultural Resources studies will be required for this project. Note that this is a preliminary opinion and may change as more project description and Cultural Resources information becomes available.

Area of Potential Effects (APE) Map

The first step in the process is to prepare a map showing the Area of Potential Effects (APE). The APE map may be prepared and submitted for review ahead of the Cultural Resources consultants starting work.

The APE map depicts the area that will be potentially affected by the project, including but not limited to, staging areas, access roads, utility relocation, temporary bridges, right-of-way acquisition and temporary construction easements². Under direction of the PQS, the APE map should include the boundary of historic resources that are located within or immediately adjacent to the project area. The primary number, trinomial, or designation should be listed.

The map should be plotted on an aerial photograph or other base at a scale of approximately 1 inch = 200 ft. A maximum size of 11 inches by 17 inches is preferred, using multiple sheets as necessary. Typically, one APE line is depicted that includes all areas of direct impact, the entirety of all parcels from which there will be a right-of-way acquisition or temporary easement for construction or detour, and the boundary of any architectural history or archaeological properties. In addition to the APE boundary, and location of historic properties, the Area of Direct Impact should be delineated.

The APE map should have a title block that includes the project name, federal ID number, and three signature lines: Caltrans PQS, Local Assistance Engineer, and Local Agency Representative.

¹ Historic Properties are defined as properties listed in, or meet the criteria for listing in, the [National Register of Historic Places](#)

² A fuller explanation of the APE map delineation requirements can be found in the PA Attachment 3,

Caltrans District 5 Local Assistance Environmental Section 106 Documentation

Caltrans will review and sign the APE map prior to reviewing studies as the APE map informs these reports. However, note that if historic properties are identified or the project scope changes, the APE map may require revision.

Cultural Resource Reports

Following completion of the APE map, Cultural Resources studies will need to be prepared by your consultants for submission to Caltrans³. At this time, your archaeological consultant should complete a records search and Sacred Lands File (SLF) search. Once results are received, please transmit to the assigned Environmental Planner. The Local Assistance Archaeologist will then initiate consultation with Native American tribes under Section 106. Refer to the *Caltrans District 5 Local Assistance Environmental Section 106 Compliance for Native American Consultation* document for further information.

Please follow the PES form or any subsequent advice from Caltrans PQS regarding what studies are required. The different reports are summarized below. Additionally, Figure 1 overleaf provides a flow chart of how the Section 106 process may be navigated.

Archaeological Survey Report (ASR), Exhibit 5.1*

Written by a professionally-qualified archaeologist, this report documents the efforts to identify archaeological resources within the Archaeological APE. It will also document the required Native American outreach efforts.

Historic Resource Evaluation Report (HRER), Exhibit 6.2*

Written by a professionally qualified architectural historian, this report documents the efforts to identify and evaluate built environment resources for the National Register of Historic Places within the Architectural APE. Each evaluation requires its own DPR form⁴ appended to the HRER. After District review, this requires a 30-day review at SHPO.

When there are no resources requiring evaluation, the HRER will not be required. Caltrans PQS are available to confirm to your consultants whether resources may be exempted from evaluation or when historic evaluations are simple enough that DPR forms can be submitted without a HRER.

Historic Property Survey Report (HPSR), Exhibit 2.6*

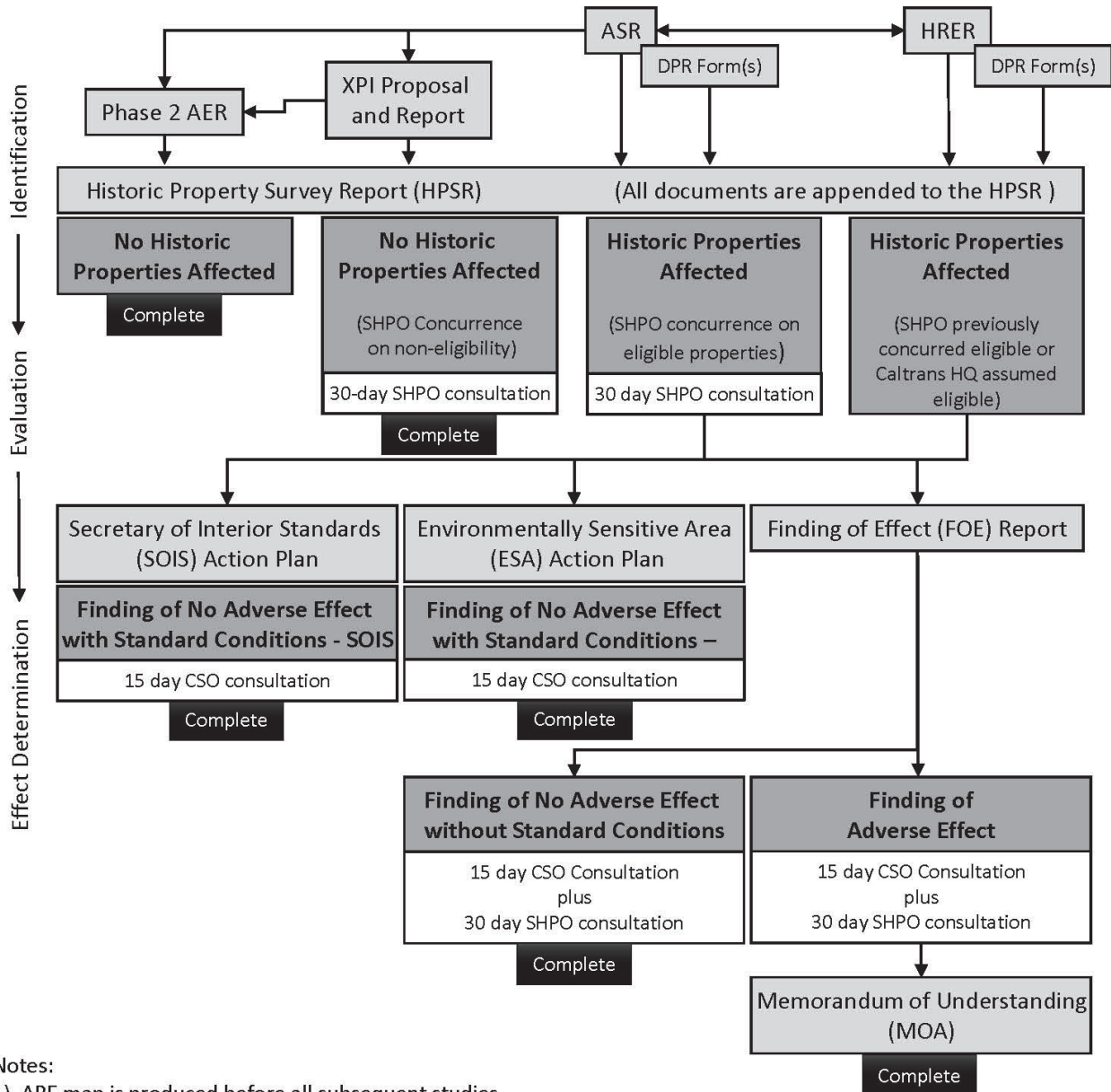
The HPSR serves as a summary report, to which the ASR and HRER, along with additional documentation defined below, are attached. Please use the [HPSR short form](#).

*Exhibits can be found on the Caltrans SER website at <https://dot.ca.gov/programs/environmental-analysis/standard-environmental-reference-ser/volume-2-cultural-resources>

³ Reports should not be submitted until the APE map is signed by Caltrans

⁴ Department of Parks and Recreation 523 Form

Caltrans District 5 Local Assistance Environmental Section 106 Documentation



Notes:

- 1) APE map is produced before all subsequent studies.
- 2) Historical Archaeology may be covered in both the ASR and HRER
- 3) Where special circumstances, such as restricted access or large property size, preclude complete evaluation of a property, Caltrans may assume eligibility through Stipulation VIII.C3 or C4 of the PA. This is undertaken through an exchange of emails between PQS and Caltrans HQ although information from the Local Agency and/or consultant may be required to inform this process. Alternatively, for archaeological sites, Caltrans may request a phased approach be undertaken. The phased approach defers evaluation until sufficient information is available and requires a Phased Implementation Plan. If an adverse effect is predicted, then a Programmatic Agreement will also need to be prepared, agreed, and signed by SHPO.

Document
Consultation Duration
Finding for the Undertaking
Section 106 Complete

Figure 1: Flow Chart showing Section 106 process

Caltrans District 5 Local Assistance Environmental Section 106 Documentation

XPI Proposal, Testing and Report, Exhibits 5.2 & 5.3*

An Extended Phase I (XPI) identifies archaeological resources through sub-surface testing. This may be required to confirm the presence or absence of a site(s), to define site boundaries or in areas of high sensitivity for buried archaeological deposits. A proposal (Exhibit 5.2) explaining and describing the field methods to be used needs to be approved by Caltrans PQS prior to any testing. A report (Exhibit 5.3) on the results will then be prepared and submitted for Caltrans PQS review.

Archaeological Evaluation Report (AER), Exhibits 5.4 & 5.5*

The Archaeological Evaluation Report, also known as Phase II, provides the basis for determining whether an archaeological site is eligible for inclusion in the National Register. A proposal (Exhibit 5.4) describing the methods of evaluation needs to be approved by Caltrans PQS. The report (Exhibit 5.5) will then present the results of the excavation and provide recommendations on the eligibility of the site.

Data Recovery, Exhibits 5.6, 5.7, & 5.8*

Data Recovery is warranted as a mitigation measure when the project is assumed to or will have an adverse effect to an archaeological site. A Data Recovery Plan (DRP) (Exhibit 5.6) is a technical appendix to a FAE and/or a MOA. The purpose of a Data Recovery Plan is to demonstrate that Caltrans' level of effort appears justified, and the expected costs are not unreasonable. The Archaeological Data Recovery Proposal (Exhibit 5.7), also referred to as a Phase III Proposal, is different from the DRP in that it builds on the DRP research design and provides a work plan with more detailed information concerning project schedule, personnel, and cost. An Archaeological Data Recovery Report (Exhibit 5.8), also referred to as a Phase III Report, serves to communicate data recovery findings.

Post-Review Discovery Plan, Exhibits 5.11 & 5.12*

A Discovery Plan (Exhibit 5.11) is appropriate if the potential for discovery of cultural resources during construction is likely and outlines the process that Caltrans and the Local Agency will implement to address potential adverse effect in the event that such resources are encountered. It is also possible to conduct a reasonable and good faith effort to identify historic properties during the planning phase of a project and still encounter previously unrecorded archeological resources during the project's implementation. In these instances, a Post-Review Discovery – Without Plan (Exhibit 5.12) will be followed.

Finding of Effect (FOE)

When Caltrans PQS has determined that a No Historic Properties Affected Finding is not appropriate, then the effects of the project on historic properties must be assessed. There are two effect findings - No Adverse Effect and Adverse Effect. A Finding of No Adverse Effect can be with or without standard conditions (SOIS or ESA). Please consult with Caltrans PQS to determine the appropriate finding:

a) Finding of No Adverse Effect - Secretary of Interior Standards (SOIS) (Exhibit 2.12*). This plan uses the Secretary of the Interior's Standards for the Treatment of Historic Properties. It is

Caltrans District 5 Local Assistance Environmental Section 106 Documentation

typically used to protect and preserve eligible built environment properties. Following District review, this requires a 15-day review at Caltrans HQ, Sacramento (CSO).

b) Finding of No Adverse Effect - Environmentally Sensitive Area (ESA) (Exhibit [2.11*](#)). This plan protects archaeological sites or historic properties that need some measure of active protection during the implementation of a project. Following District review, this requires a 15-day review at CSO.

c) Finding of No Adverse Effect (Exhibit [2.8*](#)). This is used when the project's effects are not adverse but the standard conditions (SOIS or ESA) cannot be used or are preferred not to be used. Following District review, this requires a 15-day review at CSO, followed by a 30-day review at SHPO.

d) Finding of Adverse Effect (Exhibit [2.9*](#)). This document is completed when the project will have an adverse effect to a historic property. Following District review, this requires a 15-day review at CSO, followed by a 30-day review at SHPO.

Memorandum of Agreement (MOA) and Agreement Documents (Exhibit [2.10*](#))

When an Adverse Effect to Historic Properties can't be avoided, CSO and SHPO enter into a Memorandum of Agreement (MOA) to resolve the adverse effects. A MOA is a legally binding document that is also signed by secondary stakeholders including Caltrans District 5 and the Local Agency. There is no standard review time for the SHPO to sign a MOA.

Caltrans D5 Local Assistance Environmental Section 106 Compliance for Native American Consultation

This document outlines procedures and protocol for conducting Native American consultation as part of Section 106 compliance for Caltrans District 5 Office of Local Assistance (OLA). The goal is to ensure meaningful consultation with Native American Tribes, including both Federally and non-Federally recognized Tribes consistent with legal and regulatory requirements, and respectful of tribal sovereignty and cultural heritage through good faith consultation efforts.

Native American Tribes hold a special consultative role in the context of federal and state historic preservation compliance due to their unique relationship to archaeological sites, sacred/ceremonial areas, and traditional cultural properties and landscapes.

Roles and Responsibilities

Caltrans Local Assistance Archaeologist

The Caltrans Local Assistance Archaeologist is responsible for overseeing and conducting all aspects of Section 106 consultation with Native American Tribes. This includes preparing and sending consultation letters, coordinating and participating in consultation activities, and ensuring compliance with Caltrans protocols throughout the process. The Caltrans Local Assistance Archaeologist manages the entire consultation process, maintaining consistency and ensuring that tribal concerns are adequately captured and addressed.

Caltrans Environmental Generalist

The Generalist remains the main point of contact for communication for Local Agencies and their Consultants. The Generalist will coordinate the scheduling of consultation meetings. The Local Assistance Generalists have been trained in and abide by confidentiality practices surrounding cultural resources and tribal knowledge.

Local Agencies

Local Agencies are responsible for collaborating with Caltrans Local Assistance Archaeologist and the Tribes during the consultation process. They must provide project information, participate in meetings, and assist with the coordination of any necessary site visits. Local Agencies are encouraged to establish strong working relationships with tribal governments, in cooperation with Caltrans, to promote effective cross-jurisdictional planning and collaboration among all parties and stakeholders.

Cultural Consultants

Cultural consultants engaged by the Local Agency are responsible for providing expertise on archaeological and cultural resource management and issues. They will present cultural resource findings, cultural sensitivity analyses, and recommendations during the consultation process.

Consultation Process

Step 1: Preparation for Consultation

Before Caltrans Local Assistance Archaeologist can initiate consultation, the following preparatory steps must be completed:

- Area of Potential Effects (APE) Approval: The APE must be approved by Caltrans D5 Local Assistance Archaeologist and D5 OLA.
- NWIC Record Search: The Local Agency or their consultants are responsible for obtaining the Northwest Information Center (NWIC) record search results. The results must be transmitted to Caltrans.

- Sacred Lands File Search: A Sacred Lands File search must be conducted through the Native American Heritage Commission (NAHC) by the Local Agency or their consultants. Results must be transmitted to Caltrans.

Step 2: Sending Consultation Letters

Once the above preparatory steps are complete, the Caltrans Local Assistance Archaeologist will prepare and transmit consultation letters to the Tribes identified by the NAHC. These letters will provide a general project description, any resources that have been previously identified, Sacred Lands File search results, mapping, and invite the Tribes to participate in the consultation process under Section 106. After 14 days, Caltrans Local Assistance Archaeologist will follow-up with tribes who did not respond to the original letter.

Consultation logs will be prepared and maintained by the Caltrans Local Assistance Archaeologist. The consultation log and associated documents will be transmitted to the Local Agency after follow-up communications are conducted. During preparation of Section 106 documents that include Native American consultation sections, please request updated consultation logs for inclusion in the document. As consultation is ongoing, these sections may need updating.

Step 3: Responding to Tribal Requests for Consultation

If a Tribe requests consultation or information about the project, a virtual meeting will be scheduled with the participation of the Caltrans Local Assistance Archaeologist, the Local Agency, the Cultural Consultants, and the Tribe. The meeting will have four parts:

1. Discussion of Project Elements: During the meeting, the Local Agency will present the project elements through visuals and answer any questions from the Tribe.
2. Archaeological and Cultural Resource Discussion: Cultural consultants will present any archaeological findings, site sensitivity analyses, or other relevant information. APE maps or other visuals should be utilized.
3. Section 106 Compliance Planning: The meeting will conclude with a discussion of the Section 106 compliance plan, including the proposed finding for the project or plans for further technical studies. The Caltrans Local Assistance Archaeologist will generally present on this topic.
4. Questions, comments, or concerns can be addressed in an open discussion at the end of the meeting.

Step 4: Site Visits

If warranted, a site visit may be arranged following the virtual meeting. The site visit will include representatives from the Caltrans Local Assistance Archaeologist, the Local Agency, their consultants, and the Tribe. The purpose of the visit is to allow for an on-the-ground evaluation of the project area and to facilitate further discussion of cultural resources.

Step 5: Ongoing Consultation

Consultation with Native American Tribes should be an ongoing process throughout the life of the project. Early and frequent consultation is required, especially if there are changes to the project description or scope. The Caltrans Local Assistance Archaeologist will determine when updated consultation is necessary and will coordinate as needed.

Tribal members may participate in identification, evaluation, mitigation, and minimization efforts, through survey and sub-surface identification, data recovery, monitoring, and document review. If Tribes request to participate in document review, it does not run concurrently with Caltrans review, and will occur after Caltrans has ensured the document meets Section 106 standards. Local agencies may need to contract directly with Tribes for their services.

Compliance with NEPA and Section 106 Responsibilities

In California, the FHWA has assigned, and Caltrans has assumed, all the U.S. Department of Transportation's responsibilities under the National Environmental Policy Act (NEPA) and serves as both the Lead Federal Agency and Federal Agency Official for federal-aid highway projects. This arrangement is codified in both the NEPA Assignment Memoranda of Understanding (NEPA MOU) and the Section 106 Programmatic Agreement (106 PA). Under these agreements, Caltrans assumes the lead on project-level consultations with Native American Tribes.

In carrying out both its NEPA and Section 106 responsibilities, Caltrans is responsible and accountable for conducting consultation with Native American Tribes in a manner that recognizes the federal government-to-government relationship and is respectful of tribal sovereignty. Caltrans' federally assigned responsibilities also apply to all locally sponsored transportation projects that receive federal aid highway funds (the Local Assistance Program). Caltrans oversees all Local Assistance projects to ensure compliance with federal standards under both NEPA and Section 106, including tribal engagement and consultation.

If requested by the Tribe, consultation can also occur directly from the Tribe with FHWA through government-to-government consultation.

Conclusion

The Section 106 consultation process with Native American Tribes is a critical component of Section 106 compliance. All consultation activities must be conducted with the involvement of the Caltrans Local Assistance Archaeologist and in accordance with Caltrans protocols. By engaging Tribes early and often, Caltrans and Local Agencies can ensure that tribal concerns are adequately addressed, and that projects are developed in a manner that respects and protects cultural resources whenever possible and takes into account tribal perspectives.

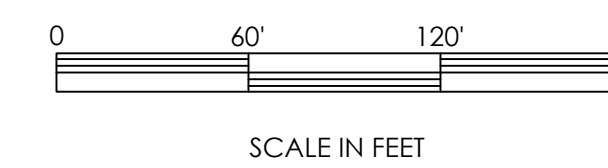
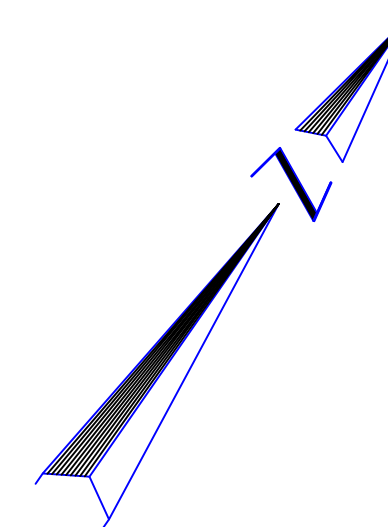
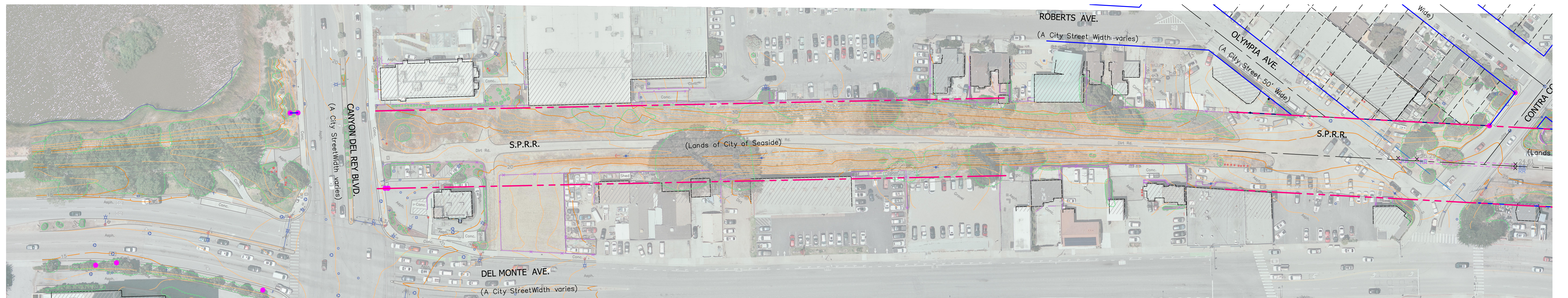
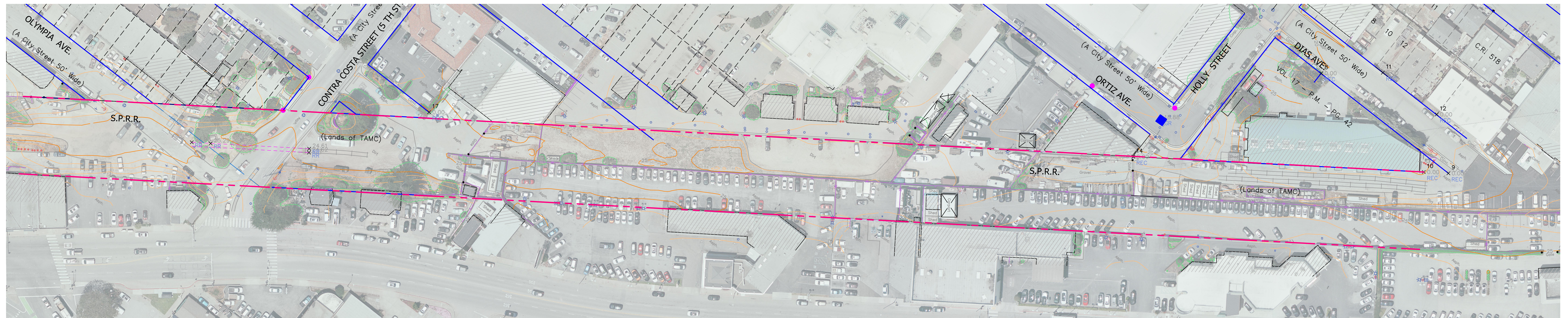
SURVEY
OF
PROPOSED ROUTE OF MULTI USE TRAIL
WITHIN SAND CITY,
MONTEREY COUNTY, CALIFORNIA

BASIS OF BEARINGS

THE BEARING OF N 00°00'00" E ALONG THE RANCHO BOUNDARY LINE AS SHOWN ON THE MAP RECORDED IN VOLUME xx OF SURVEYS AT PAGE xx AS FOUND MONUMENTED, WAS TAKEN AS BASIS OF BEARINGS SHOWN UPON THIS MAP.

NOTES

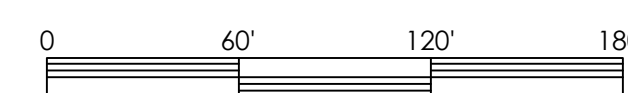
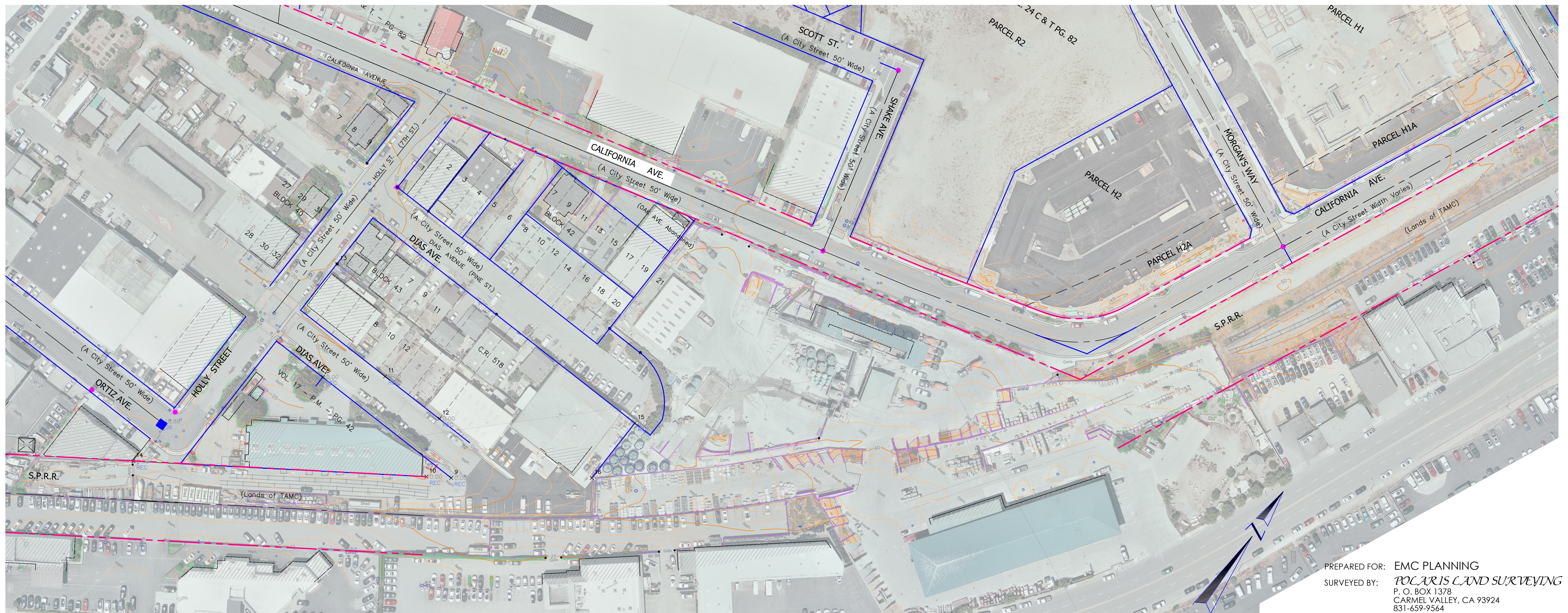
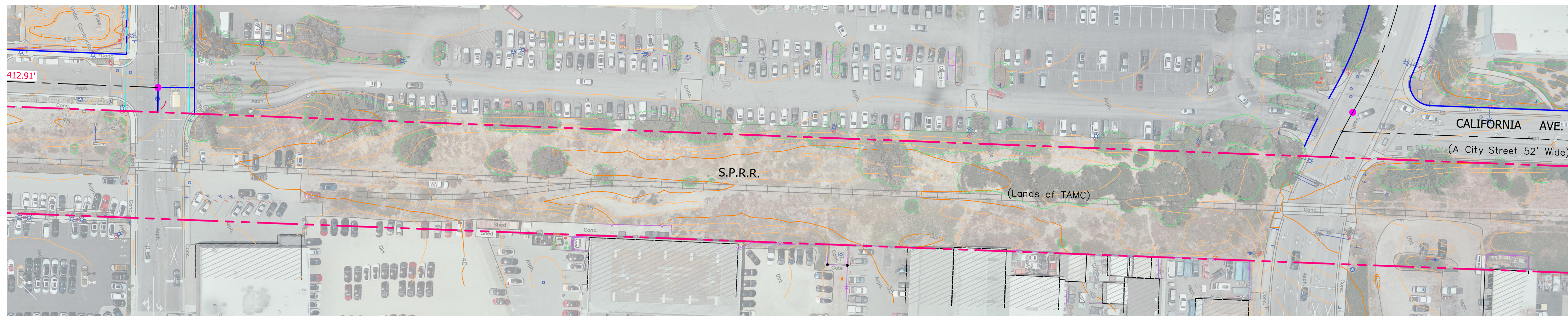
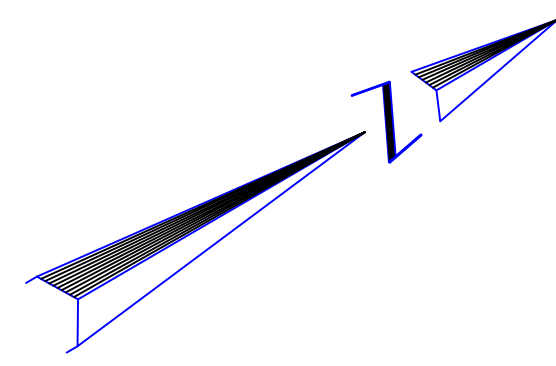
1. DISTANCES ARE EXPRESSED IN FEET AND DECIMALS THEREOF.



PREPARED FOR: EMC PLANNING
 SURVEYED BY: POLARIS LAND SURVEYING
 P. O. BOX 1378
 CARMEL VALLEY, CA 93924
 831-659-9564

SCALE: 1" = 50' VIEW: D2 DATE: July 16, 2024
 FILE NAME: SAND CITY BASEMAP JOB #24-143 Sheet 2 of 2

SURVEY
 OF
 PROPOSED ROUTE OF MULTI USE TRAIL
 WITHIN SAND CITY,
 MONTEREY COUNTY, CALIFORNIA



PREPARED FOR: EMC PLANNING
 SURVEYED BY: POLARIS LAND SURVEYING
 P. O. BOX 1378
 CARMEL VALLEY, CA 93924
 831-659-9564

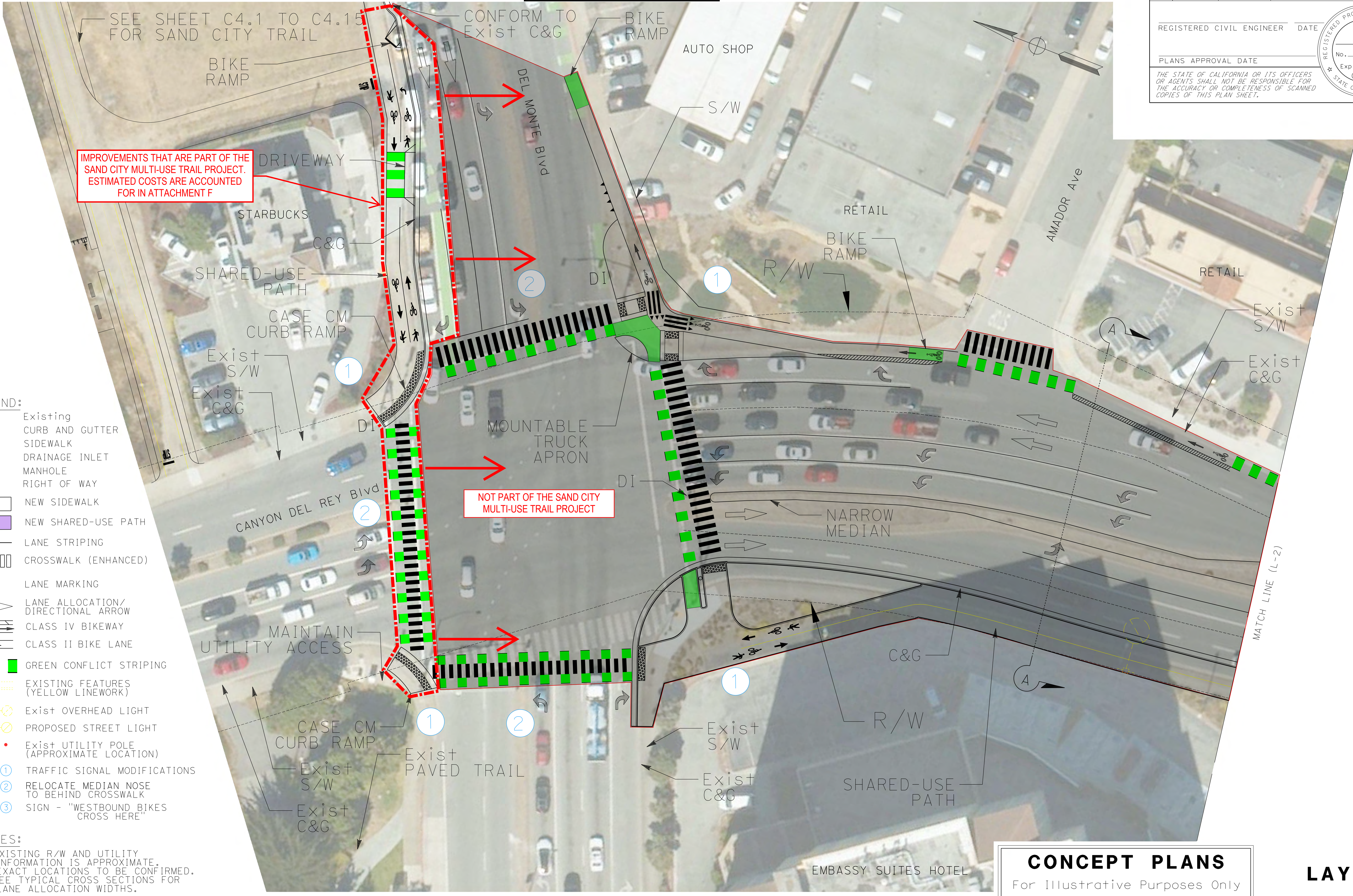
SCALE: 1" = 60' VIEW: D2 DATE: July 16, 2024
 FILE NAME: SAND CITY BASEMAP JOB #24-143 Sheet 2 of 2

ATTACHMENT D2

Dist	COUNTY	ROUTE	POST MILES TOTAL PROJECT	SHEET No.	TOTAL SHEETS
05	Mon	218	0.001/R0.166	**	**

REGISTERED CIVIL ENGINEER	DATE
PLANS APPROVAL DATE	

THE STATE OF CALIFORNIA OR ITS OFFICERS OR AGENTS SHALL NOT BE RESPONSIBLE FOR THE ACCURACY OR COMPLETENESS OF SCANNED COPIES OF THIS PLAN SHEET.



IMPROVEMENTS THAT ARE PART OF THE SAND CITY MULTI-USE TRAIL PROJECT. ESTIMATED COSTS ARE ACCOUNTED FOR IN ATTACHMENT F

NOT PART OF THE SAND CITY MULTI-USE TRAIL PROJECT

- LEGEND:**
- Exist C&G Existing CURB AND GUTTER
 - S/W Existing SIDEWALK
 - DI Existing DRAINAGE INLET
 - MH Existing MANHOLE
 - R/W Existing RIGHT OF WAY
 - NEW SIDEWALK
 - NEW SHARED-USE PATH
 - LANE STRIPING
 - CROSSWALK (ENHANCED)
 - LANE MARKING
 - LANE ALLOCATION/DIRECTIONAL ARROW
 - CLASS IV BIKEWAY
 - CLASS II BIKE LANE
 - GREEN CONFLICT STRIPING
 - EXISTING FEATURES (YELLOW LINEWORK)
 - Exist OVERHEAD LIGHT
 - PROPOSED STREET LIGHT
 - Exist UTILITY POLE (APPROXIMATE LOCATION)
 - 1 TRAFFIC SIGNAL MODIFICATIONS
 - 2 RELOCATE MEDIAN NOSE TO BEHIND CROSSWALK
 - 3 SIGN - "WESTBOUND BIKES CROSS HERE"

NOTES:

- EXISTING R/W AND UTILITY INFORMATION IS APPROXIMATE. EXACT LOCATIONS TO BE CONFIRMED.
- SEE TYPICAL CROSS SECTIONS FOR LANE ALLOCATION WIDTHS.

CONCEPT PLANS
For Illustrative Purposes Only

LAYOUT
L-1

SCALE: 1" = 20'

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION - ADVANCE PLANNING

FUNCTIONAL SUPERVISOR

REVISOR BY DATE

CHECKED BY

DESIGNED BY

INSTRUCTIONS FOR COMPLETING EXHIBIT 10-Q DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime federal recipient at the initiation or receipt of covered federal action or a material change to previous filing pursuant to title 31 U.S.C. Section 1352. The filing of a form is required for such payment or agreement to make payment to lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress an officer or employee of Congress or an employee of a Member of Congress in connection with a covered federal action. Attach a continuation sheet for additional information if the space on the form is inadequate. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered federal action for which lobbying activity is or has been secured to influence, the outcome of a covered federal action.
2. Identify the status of the covered federal action.
3. Identify the appropriate classification of this report. If this is a follow-up report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered federal action.
4. Enter the full name, address, city, state, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is or expects to be a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the first tier. Subawards include but are not limited to: subcontracts, subgrants, and contract awards under grants.
5. If the organization filing the report in Item 4 checks "Subawardee" then enter the full name, address, city, state, and zip code of the prime federal recipient. Include Congressional District, if known.
6. Enter the name of the federal agency making the award or loan commitment. Include at least one organization level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the federal program name or description for the covered federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate federal identifying number available for the federal action identification in item 1 (e.g., Request for Proposal (RFP) number, Invitation for Bid (IFB) number, grant announcement number, the contract grant. or loan award number, the application/proposal control number assigned by the federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered federal action where there has been an award or loan commitment by the Federal agency, enter the federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. Enter the full name, address, city, state, and zip code of the lobbying entity engaged by the reporting entity identified in Item 4 to influence the covered federal action.
11. Enter the full names of the individual(s) performing services and include full address if different from 10 (a). Enter Last Name, First Name and Middle Initial (MI).
12. Enter the amount of compensation paid or reasonably expected to be paid by the reporting entity (Item 4) to the lobbying entity (Item 10). Indicate whether the payment has been made (actual) or will be made (planned). Check all boxes that apply. If this is a material change report, enter the cumulative amount of payment made or planned to be made.
13. Check all boxes that apply. If payment is made through an in-kind contribution, specify the nature and value of the in-kind payment.
14. Check all boxes that apply. If other, specify nature.
15. Provide a specific and detailed description of the services that the lobbyist has performed or will be expected to perform and the date(s) of any services rendered. Include all preparatory and related activity not just time spent in actual contact with federal officials. Identify the federal officer(s) or employee(s) contacted or the officer(s) employee(s) or Member(s) of Congress that were contacted.
16. Check whether or not a continuation sheet(s) is attached.
17. The certifying official shall sign and date the form, and print his/her name title and telephone number.

Public reporting burden for this collection of information is estimated to average 30-minutes per response, including time for reviewing instruction, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, D.C. 20503. SF-LLL-Instructions Rev. 06-04